

FEDERAL TRANSIT ADMINISTRATION

MAP-21 Safety Implementation Progress Making Connections Conference May 30, 2013

FTA's Office of Safety and Oversight



U.S. Department of Transportation Federal Transit Administration

Safety Authority and Responsibility

MAP-21 provides authority to:

- inspect and review all public transportation systems
- make reports and issue directives with respect to the safety of public transportation systems
- require the production of safety related information
- prescribe record-keeping and reporting requirements
- investigate public transportation accidents and incidents
- inspect equipment, rolling stock, operations and relevant records



MAP-21 Implementation Areas

- Rulemaking
- Outreach and communication with the industry
- Phased program implementation
- Training and Technical assistance
- Progress made to:
 - Fund states to strengthen capacity for safety oversight, and
 - stand up new regulatory framework
 - establish the FTA Office of Safety and Oversight



Near-term Timeline

Activity	MAY	JUNE	JULY	AUGUST	SEPT	ОСТ	NOV	DEC
SSO Grant Funding								
Apportionment Formula	FR/Notice and Comment							
Notice of Availability			FR/Published		🛕 Funds Released			
State Initial Certification				State Submissions 🛕 Initial Certification				
State Work Plans/Implementation				State Submissions/FTA Review				
Rulemaking								
Interim Safety Certification Training Provisions	FR/Notice							
SSO Program							FR/NPRM	
Nat'l Safety Plan & Minimum Vehicle Stds.						FR/ANPRM		
Agency Safety Plan						FR/ANPRM		
Safety Certification Training Rule						FR/ANPRM		
Congressional Report			SSO Program					
TRACS Meetings	Full				Full			
SMS Implementation								
SMS Dear Colleague/FAQs	Distribute							
SMS Pilots								
SMS-related Research								
SMS Guidance/Training								
Outreach & Comm.								



Safety Management Systems

SMS is a framework:

- I. What is most likely to be the cause of our next serious accident?
- 2. How do we know that?
- 3. What are we doing about it?
- 4. Is it working?



Safety Management Systems

• SMS

- Dear Colleague Letter
- SMS FAQs and brochure
- Press release
- Webcasts
- Training and coordination
- TRACS working papers



Partnership

- SMS Awareness Training
 - FTA is developing SMS training as a top priority
- SMS Pilot Programs and Studies
 - Expedite transition from current environment to SMS model
 - Provide an opportunity to work with the CEO, rail transit safety team, SSO agency, and FTA to "road test" SMS tools in the transit environment
 - Results will support rulemaking activities
 - Results will also be shared
 - Results will inform training and research



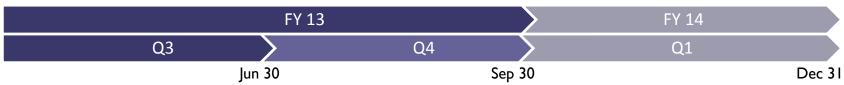
SMS Research and Training

- Strategic research plan
- Emphasis on safety-related pilots, demonstrations and technology enhancements
- Research will drive technical assistance, pilots will help with acceptance by the industry
- Results will be tools, templates, and better training



Staffing

FTA's Office of Safety and Oversight will require 13 new hires. The Associate Administrator position is already in progress; other staffing activities will be phased over the next three quarters.



Hiring Strategy:



Hiring Activities:

FY13 Q3- Begin Hiring 5 FTEs:

- 1. SSO (2)
- 2. SMS
- 3. Communications Manager
- 4. Cost Center Manager

FY13 Q4- Begin Hiring 4 FTEs:

- I. Deputy Associate Administrator
- 2. Training and Technical Assistance
- 3. Data Analysis and Reporting
- 4. SMS

FY14 Q1 Begin Hiring 3 FTEs:

- I. Director, Office of Safety Review
- 2. Safety Oversight
- 3. SMS

